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Tri-State CRI “Cheat Sheet” for Frequent Resolutions

Board Diversity: **AGAINST** all nominees to the Board of Directors of companies with less than 30% Board diversity, including at least one woman and one person of an ethnic minority, taking into account the ethnic diversity of the company's geography.

Separate Chair and CEO: **FOR** resolutions calling for the Separation of Chair and CEO

Executive Compensation/ Say on Pay: **AGAINST** executive compensation packages in which individual compensation exceeds \$10 million. **FOR** annual advisory votes on executive compensation.

Public Auditor: **AGAINST** auditors in cases where non-audit fees, including tax-related fees, represent more than 10% of total fees.

Climate Change: **FOR** GHG Emission Reduction Goals. **FOR** reporting on 2°C scenario planning, 2°C business plans, or portfolio resilience to 2°C scenarios.

Deforestation and Agricultural Commodities: **FOR** resolutions requesting a report on time-bound plans to address supply chain impacts on deforestation and associated human rights abuses.

Water Stewardship: **FOR** resolutions requesting the adoption of a water stewardship policy throughout corporate supply chains. **FOR** resolutions requesting disclosure around water related risks and impacts of operations and supply chains.

Hydraulic Fracturing: **FOR** resolutions requesting annual disclosure, including quantitative indicators, on the water, air, soil and community impacts of hydraulic fracturing operations.

Human Rights Policies and Impact Assessments: **FOR** resolutions requesting the adoption of a Human Rights Policy. **FOR** resolutions seeking a human rights impact assessment and/or other types of human rights reporting.

Human Trafficking and Modern Slavery: **FOR** resolutions asking companies in the travel and tourism industries (or in other sectors that may be deemed high risk) to adopt policies and practices to combat sex trafficking. **FOR** requesting companies to adopt “Ethical Recruitment” policies and/or to report on the implementation of these policies.

Political Contributions and Lobbying Expenditures: **FOR** resolutions that ask for disclosure of Political Contributions and Lobbying Expenditures.

Integrity in Financial Markets and Access to Capital: **FOR** resolutions seeking policies or reporting on predatory lending practices. **FOR** resolutions seeking reporting on ethical banking practices and policies. **FOR** resolutions requesting reporting on ESG risks in lending portfolios.